



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al.

Art Unit : Unknown

Serial No. :

Examiner : Unknown

Filed :

Title : ARBITRAGE OF TRACKING SECURITIES

Commissioner for Patents  
Washington, D.C. 20231

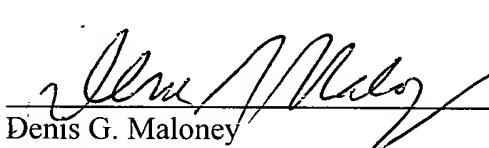
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed with the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/11/2001

  
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## CERTIFICATE OF MAILING BY EXPRESS MAIL

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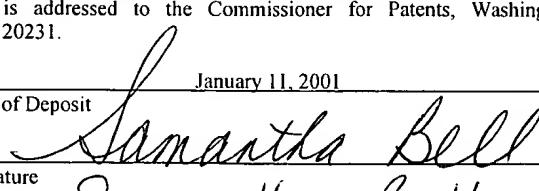
I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 11, 2001

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Samantha Bell